Protein homology model refinement by large-scale energy optimization

Hahnbeom Park,a,b, Sergey Ovchinnikov,a,b,c, David E. Kim,b,d, Frank DiMaio,a,b, and David Bakera,b,d,1

*Department of Biochemistry, University of Washington, Seattle, WA 98105; Institute for Protein Design, University of Washington, Seattle, WA 98105; Molecular and Cellular Biology Program, University of Washington, Seattle, WA 98105; and Howard Hughes Medical Institute, University of Washington, Seattle, WA 98105

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Proteins fold to their lowest free-energy structures, and hence the most straightforward way to increase the accuracy of a partially incorrect protein structure model is to search for the lowest-energy nearby structure. This direct approach has met with little success for two reasons: first, energy function inaccuracies can lead to false energy minima, resulting in model degradation rather than improvement; and second, even with an accurate energy function, the search problem is formidable because the energy only drops considerably in the immediate vicinity of the global minimum, and there are a very large number of degrees of freedom. Here we describe a large-scale energy optimization-based refinement method that incorporates advances in both search and energy function accuracy that can substantially improve the accuracy of low-resolution homology models. The method refined low-resolution homology models into correct folds for 50 of 84 diverse protein families and generated improved models in recent blind structure prediction experiments. Analyses of the basis for these improvements reveal contributions from both the improvements in conformational sampling techniques and the energy function.

Results

Approach Summary. In devising a refinement method capable of improving models far from the native structure, we were guided by the following considerations. First, large-scale structural changes are on a time scale that is likely too long for all-atom MD simulations to currently access, and an effective approach should involve moves that introduce discrete (rather than continuous) structural changes to enable energy barrier hopping. Second, since such moves will be generally unfavorable in standard all-atom representations, a lower-resolution coarse-grained model is appropriate for sampling. Third, since a coarse-grained model is necessarily less accurate than an all-atom model, the overall refinement trajectory should be guided by an all-atom energy function. Finally, since structural changes may need to occur at multiple noninteracting regions, an iterative refinement approach should improve success.

We implemented these considerations in a refinement method within the Rosetta modeling suite (12) that carries out large-scale sampling of the energy landscape using a two-stage protocol. The first stage introduces structural variation in the starting model, generating a population of diverse structures in different low-energy minima. The second stage utilizes an evolutionary algorithm to guide this model population toward the lowest all-atom energy (and hence likely more accurate) state. The second stage consists of 50 iterations; in each iteration, new structures are sampled, and the population is updated favoring lower all-atom energy structures while maintaining the structural diversity. For evaluation of the effectiveness of the protocol, we consider both the lowest-energy structures from each of the five largest clusters at the end of the iteration and a single model generated through structural averaging of models close to the lowest-energy cluster representative followed by constrained minimization (SI Appendix provides details); we refer to the former as “cluster representatives” and the latter as the “refined structure” in the remainder of the text.

Significance

Protein structure refinement by direct global energy optimization has been a longstanding challenge in computational structural biology due to limitations in both energy function accuracy and conformational sampling. This manuscript demonstrates that with recent advances in both areas, refinement can significantly improve protein comparative models based on structures of distant homologues.
To generate models at the diversification stage and at every iteration of the evolution stage, multiscale Monte Carlo (MC) modeling implemented in RosettaCM (13) was used with sampling carried out in a coarse-grained representation and model evaluation in an all-atom representation. The conformational search utilizes a “broken-chain” kinematics setup of the protein chain that allows large structural changes in internal coordinates to local regions [or regions predicted as unreliable (14)] without disruption of the remainder of the structure (13). Two types of structural perturbations are applied during MC search: “mutation” replaces backbone torsions with those from a generic fragment library (15), and “crossover” replaces the Cartesian coordinates of the backbones of a segment with those from another structure in the current pool of models (only mutation operators are used at the diversification stage (13)). Distance restraints are employed during sampling in the coarse-grained representation (but not in the subsequent all-atom model evaluation) to control the amount of structural variation and are weighted based on their frequency in the sampled population—frequently violated restraints are down-weighted. At the diversification stage and at each iteration at the evolution stage, after the coarse-grained conformational search, side-chains are built onto the backbones, and iterative side-chain and backbone optimization is carried out in an all-atom representation using the recently improved implicit solvent energy function (16). The energy of a model using all-atom optimization determines whether it is accepted into or rejected from the evolving population at each iteration of the evolution stage. Details of the method can be found in Methods.

Fig. 1. Performance of refinement protocol on benchmark set. (A) Benchmark set1—44 proteins from CASP and CAMEO rounds up to September 2015. The starting homology models were generated by multiple different servers. (B) Benchmark set2—40 proteins from CAMEO rounds since October 2015. The starting homology models were generated by the Robetta server (19). In each panel, model quality is compared between input models (x axis) and refined models (y axis) using three different model accuracy metrics. (Top) SphereGrinder (SG); (Middle) GDT-HA; (Bottom) rmsd (SI Appendix). For SG and GDT-HA, higher values are better, and the native structure has a value of 100. Models with values better than the thresholds indicated by the dashed lines (SG > 80, rmsd < 2.5 Å, and GDT-HA > 40) for two of the three metrics are considered “correct folds.” Points represent the single refined model; the error bars represent the range of model qualities of the five cluster representatives. Blue, proteins with less than 120 residues; red, proteins with 120 or more residues. The refinement protocol consistently improves input models in both benchmarks.
and the use of Robetta in model generation (the models start out in energy minima of the Rosetta energy function). Over benchmark set1 and set2 combined, the refined structure has the correct fold by the definition above for 40 of 84 targets, and one of the cluster representatives, for 50 of 84 targets.

It is instructive to consider several specific examples of the structural changes that occur during refinement. The most dramatic improvement in the first benchmark was for TR822 (Fig. 2A), in which refinement recovers not only the native β-strand pairing pattern but also improves the locations of these strands, increasing model accuracy by over 30%. The input structure for TR624 and TR827 (Fig. 2D and E) has roughly correct topology but with large deviations in secondary structure orientations, likely originating from significant sequence changes in homologous structures, which are largely corrected by the energy-guided refinement. The TR574 and TR705 (Fig. 2B and C) cases show that the approach can improve both secondary structure and loop regions.

The refinement protocol was further tested in a completely blind setting on 43 targets from the tertiary structure prediction and refinement categories of the latest CASP (CASP12). Excluding membrane proteins and oligomers with extensive subunit interfaces (four targets in total), for 29 of the remaining 39 cases, accuracy was improved for the best of the five cluster representatives with increases of more than 20% in five cases (SI Appendix, Fig. S4). Nevertheless, the performance was generally less consistent compared with that of the benchmark; large proteins (over 200 residues) and oligomers—excluded in the benchmark—were rarely improved and sometimes degraded in quality (SI Appendix, Fig. S5). Our large-scale sampling approach likely fails in these cases due to the very large size of the conformational space; as noted above, there are many more ways to move away from any point in a high-dimensional space than toward it. The other approaches with more consistent results in CASP12 were quite a bit more conservative, staying relatively close to the input structure, and hence both the improvements and the decreases in model quality had smaller overall magnitudes (22).

Contributions to Successful Refinement. The CASP12 results show there is still considerable room for improvement of the refinement method, particularly for larger proteins. To understand the origin of successful refinement and to guide further methods development, the set of targets for which the sampling problem is tractable (monomeric proteins with <200 residues) can be used as a laboratory to systematically investigate the contributions to successful refinement. We carried out a series of control experiments on benchmark set1, replacing aspects of the search strategy and the energy function with alternatives one at a time to isolate the factors responsible for the structural improvement. To make the comparisons simpler and quantitative, we use a single metric—SphereGrinder (SG) (23)—which best captures large-scale structural improvements (3, 8, 11, 22); over the benchmark set the SG values are correlated with both the rmsd and the GDT-HA (global distance test-high accuracy) (24) (SI Appendix, Fig. S6).

A first set of control experiments was carried out to elucidate the contribution from different aspects of the conformational sampling method (Fig. 3). To investigate the importance of the use of different resolution representations in our multiscale modeling approach (rather than using an exclusively all-atom representation), we carried out control calculations using as all-atom representation methods: (i) refinement by explicit water MD simulation with parameters optimized for high-resolution refinement (MD) (9), (ii) iterative all-atom optimization of protein core coupled with discrete side-chain optimization (Relax) (25, 26), and (iii) Monte Carlo sampling combining continuous backbone and side-chain movements (BBGauss) (27). The second and third controls use the same all-atom energy function as our multiscale approach. None of the control methods produced significant improvements in the starting models (Fig. 3A). In particular, while consistent improvements were obtained with refinement using explicit water MD simulation (MD), which is quite powerful for refinement of close-to-native models (3), they were quite small; only 7 of the 44 benchmark cases passed the “correct fold” threshold defined above. All-atom representations make it difficult to escape local energy minima around the input structure (SI Appendix, Fig. S7 A and B), due to the high probability of introducing unfavorable interactions accompanying any large perturbation to the structure. (The coarse-grained representation is much more tolerant of clashes.) Simulation parameters can be adjusted to encourage diversification for an exclusively all-atom representation approach, but focusing back in on the lowest-energy structure by annealing (7) or with unrestrained simulation (8) is challenging; even when sampling is successful, selecting one single representative from a massive simulation trajectory is a nontrivial challenge (8, 9). Incorporating constraints from physical intuition into replica-exchange MD simulation (28) has

**Fig. 2.** Examples of structural improvements brought about by refinement. (A) TR822, (B) TR574, (C) TR705, (D) TR624, and (E) TR827. Native, input structures, and refined models are shown in gray, red, and blue cartoon representations, respectively.
A final series of control experiments was used to explore the robustness of the approach to the details of the global optimization control logic. The evolution protocol was varied by changing structural operators, parent-selection logic, or population update logic, keeping the remaining components identical to the standard approach (SI Appendix, SI Methods and Fig. S7C). Improvement over the starting population occurs in all cases, implying that our results are not the outcome of overfit search parameters. The only exception—where performance is significantly poorer—results from eliminating protocol iteration and instead generating the same amount of total models repeatedly from the first-generation structures: improvements originate from propagation through multiple iterations rather than a single huge improvement in one fortunate MC simulation. The optimal number of iterations at the evolution stage—generating ~100 new structures at each iteration—was found to be ~40 iterations; while the all-atom energy continues to drop beyond this point the structures do not change much, as they are in deep energy minima (Fig. 3B).

Energy Function Accuracy Is Critical for Structure Refinement by Large-Scale Sampling. To address the role of energy function improvement in refinement success, a second set of control experiments was carried out using different all-atom energy functions. We pick three energy functions historically used as standard all-atom energy functions in Rosetta: score12 (31), talaris2013 (referred to as ElecHBv2 in ref. 32), and REF2015 (referred to as opt-nov15 in ref. 16), listed in order of development. REF2015, used in this study, is the current default energy function in Rosetta; all nonbonded atomic-level parameters were fully reoptimized to simultaneously reproduce small-molecule thermodynamic properties as well as protein properties. Fig. 4A shows a remarkable improvement in model quality with the energy function improvements from talaris2013 to REF2015: energy function improvement was clearly essential to the success of our global optimization-based refinement method.

A more detailed view of how improvements in the energy function guide sampling is provided by energy landscape exploration analysis using an a priori knowledge of the native conformation. While the energy function-based model discrimination improves from score12 to talaris2013 to REF2015 (red bars in Fig. 4B), as we progress from talaris2013 to REF2015, the improvement in energy function in addition leads to improvement in sampling (blue bars in the figure), which is not the case in the step from score12 to talaris2013. There is an enhanced driving force guiding sampling toward the native structure in REF2015 that likely originates primarily from an improved Lennard-Jones (LJ) model that captures the energetics of hydrophobic core formation more accurately (SI Appendix, Table S5). For most of the cases shown in Fig. 4C (SI Appendix, Fig. S8, for other metrics), while the native conformation is one of the lowest-energy states in the entire landscape in all energy functions, REF2015 is the only one with sufficient gradient to guide the iterative sampling process toward the native structure starting from an inaccurate input structure; this driving force is important in challenging refinement problems, as less sampling is needed to converge on the correct structure. The optimization of REF2015 utilized in addition to small-molecule thermodynamic data, an evaluation metric measuring both the shape of the folding funnel (the difference in energy between the native structure and nearby structures) and discrimination power (the difference in energy between the native structure and far away structures), and the improvement in the gradient toward the native state, perhaps resulting from the former, appears to be the dominant source of improvement in refinement.

Discussion

We have demonstrated that protein structure models can be improved by energy-guided large-scale sampling and traced how success in refinement depends on the sampling protocol and energy function. In previous studies this concept—exploring the energy landscape with iterative multiscale modeling—was limited either by strongly restraining input structures (4–6), carrying

yielded good results for proteins with less than 100 residues (29); it is unclear how this approach will work for larger proteins or starting models with more substantial structural errors.

Control experiments on the components of the input model diversification stage highlight the importance of the kinematic setup of the protein chain and the set of structural perturbations incorporated into coarse-grained sampling. While keeping the energy function unchanged, the performance of the standard approach was compared with that of three alternatives. AbInitio resembles Rosetta de novo modeling (30) in which the protein is treated kinematically as a continuous chain, and sampling is uniform across the protein chain. AbFocus is the same as AbInitio, except that five times more intensive sampling is carried out in the regions predicted to be unreliable. FixedCore resembles RosettaCM (13) and other local reconstruction-based refinement methods (4, 5) in using error estimation and a broken-chain kinematics setup, but mutations are only allowed in unreliable regions. These alternatives produce either smaller (FixedCore) or lower-consistency improvements (AbInitio and AbFocus) (Fig. 3A; see SI Appendix, Fig. S7 A and B for more details). When the evolution stage is carried out using a first-generation pool generated from any of the three approaches, the resulting models are quite a bit worse.
out only a few iterations (33), or using experimental data to guide the search (34). The major stumbling block was that without restraints on the input structure or experimental data to guide the search, inaccuracies in the energy function would cause structures to drift away rather than toward the native structure (33). As noted above, because of the high dimensionality of the space, there are far more ways to degrade a model than to improve it. The folding funnels (examples shown in Fig. 4C) show that with recent improvements, the Rosetta implicit solvent energy function can have sufficient accuracy to guide sampling into the native energy basin. Best results are obtained when the improved energy function is coupled with a robust and rapid all-atom relaxation method (26) using an iterative multiscale representation approach; thorough relaxation of perturbed coarse-grained models is critical, otherwise close-to-native models could be rejected from the structural pool. Advances in sampling techniques developed in Rosetta for homology modeling (13) and experimental data-guided search (35), including local error estimation, broken-chain kinematics, and multiscale modeling, clearly facilitate exploring the complicated energy landscape. The refinement protocol can readily incorporate additional structural information for more complex or larger proteins. For example, a simpler version of the protocol was recently used in the computation of protein structures using sequence coevolution information (35). The all-atom energy function and coevolution restraints were optimized together, considerably improving the input models built by de novo modeling or coevolution pattern search to an accuracy where functional insights become possible.

The failures of the approach on specific targets reveal areas for improvement. The assumption behind the approach is that the global energy minimum is located near the monomeric native conformation and that this minimum can be discovered through large-scale energy-guided sampling. The approach will fail if the global energy minimum is not a monomer (SI Appendix, Fig. S5A), as was the case for a number of CASP12 targets whose biological units were homo- or hetero-oligomers. Insufficient sampling is another cause of failure (SI Appendix, Fig. S5 B and C); refinement attempts failed to improve targets with starting models with totally different folds, with low GDT-HA, and for complex topologies, and with significant sequence registration errors in secondary structures. Of these causes of failures, sequence registration errors are perhaps the most tractable issue to address (SI Appendix, Fig. S5C). The problem of refining larger proteins is more challenging due to the exponential increase in the size of the search space with increasing chain length—consistent success in refinement of larger proteins may ultimately require significant increases in computing power, a more advanced sampling strategy, or further energy function improvements so there is a stronger guiding energy gradient further from the native structure.

Sampling problems also occur in the very close-to-native regime where the MC moves in the coarse-grained representation may be too coarse to achieve the small changes required to improve the structure. This is evident in the smaller improvements in the GDT-HA metric during refinement, which penalizes deviations as small as 0.5 Å from the native structure (the other two metrics are tolerant to deviations of this magnitude). The GDT-HA values of many of the refined models are around 60% or less, indicating room for improvement, which will likely be necessary for greater success in molecular replacement (SI Appendix, Table S5). Combining with more continuous MD simulation for higher-resolution refinement, already demonstrated in previous CASPs to be effective in consistent refinement of close-to-native models (9), is a promising direction. While we have demonstrated that implicit solvent models are suitable for refinement from distant starting structures, closer to the native state explicit water molecules can become important in determining the precise conformations of loops; such effects will be missed by our current approach but could perhaps be captured by incorporating explicit solvent MD simulations at a final stage.

### Methods

**Sampling Operators.** Two types of sampling operators are used: a mutation operator and a crossover operator. The sampling operators first set up a “star fold tree” kinematics for propagating changes to the starting conformation in a coarse-grained representation by breaking the chain at the beginning of each unreliable region and loop with more than three residues, as defined by a secondary structure assignment software DSSP (36). There are then three sampling stages: (i) stage1, a MC simulation in internal coordinates in which the degrees of freedom are the internal coordinates of the resulting disconnected chains, and the rigid body transforms between them; (ii) stage2, a MC simulation in Cartesian coordinates with moves consisting of local segment replacements and minimization in Cartesian space, and strong restraints between the termini of each chain segment to close the chain breaks; (iii) stage3, all-atom refinement (26).

In the mutation operator, the primary MC moves are fragment insertions in the loops or unreliable regions (see Diversification Stage section below), but also to the other parts at a lower probability (10% of the frequency of the unreliable regions) to further increase structural diversity. Stage1 consists of 12,000 MC-attempted three or nine residue fragment replacements as in Rosetta de novo structure prediction (backbone torsion angles of randomly selected segments are replaced with those of the fragment), and stage2, 700 attempted nine residue fragment insertions in Cartesian coordinates made by superimposing the N and C-terminal residues of a randomly selected fragment on the first and last residues of a randomly selected nine residue insertion site. The fragments are obtained by the standard fragment-picking
method developed for de novo modeling (15) from a July 2011 database. Fragments from the target itself or its homologs are excluded for targets with native structure is deposited to Protein Data Bank before 2011. In the crossover operator (used at the evolution stage), the MC moves consist not only of fragment insertions but also chunk replacements. A chunk replacement substitutes one or more different chain segments of the conformation with corresponding segments from the five selected members in the current pool of the evolution stage. Using more than two parents differs from typical crossover operations in evolutionary algorithms; however, we expect this “groping crossover” increases chunk replacements comprising 10% of the 12,000 MC attempts in stage (1) (the remaining 90% are fragment insertion) and 20% of the 700 MC attempts in stage (2) (the remaining 80% are local fragment superpositions).

**Diversification Stage.** The diversification stage begins by estimating residue-level local errors in backbones and identifying unreliable regions based on strong MD simulations of 20 trajectories of 20 ps (37). The residues are sorted based on the fluctuations in the MD simulations, and those with the largest fluctuations are considered unreliable. The fraction of residues that are selected as unreliable is a function of both protein size and target difficulty, ranging from 10% for easy targets over 200 residues to 50% for hard targets under 100 amino acids (SI Appendix). The diversification stage consists of multiple independent applications of the mutation operator to the input model. Two types of restraints are used to constrain sampling (the restraints are solely for guiding the coarse-grained sampling; they do not affect the all-atom modeling or model selection). In restrained_sampling, all residue pairs in the structure are linearly restrained to the values in the input model. In permissive_sampling, the restraints are weighted based on the estimated residue-level error. Weights on residue pair sampling are set to zero in unreliable regions and are near zero in the retrained_sampling case even in the reliable regions to allow for generating more diverse structures (SI Appendix). The 1,000 and 2,000 independent samples are generated using restrained_sampling and permissive_sampling, respectively. For very small proteins under 70 residues, Rosetta de novo structure calculations are also carried out and are filtered by structural similarity to the input models (TM-score (38) > 0.5). Each of the populations of models are then clustered, and the lowest-energy members of each cluster are identified (the cluster representatives). The five lowest-energy cluster representatives from the restrained_sampling runs and the 45 lowest-energy cluster representatives from the permissive_sampling runs are then combined, giving a total pool size of 50. When de novo models are included for proteins under 70 residues, 1, 9, and 40 cluster representatives are selected from the restrained_sampling, permissive_sampling, and de novo populations, respectively.

**Evolution Stage.** The evolution stage starts from the 50 selected models from the diversification stage and proceeds in a series of iterations, maintaining a pool of 50 structures. At each iteration, 10 members of the pool are selected as seeds, and for each, 6 mutation operations and another 6 crossover operations (with different combinations of randomly picked parents other than seed) are carried out to generate a total of 120 trial structures. From the original 50 parents and the newly generated 120 trial structures, 50 models are selected for the next iteration based on all-atom energy and divergence from the other pool members. After 15–25 iterations, the unreliable regions and restraints are updated according to the structural variation in the current population. Details of the evolution stage are described in SI Appendix.

**Implementation.** All of the sampling operators in the study—for both diversification and evolution stages—are run using the HybridizeMover in Rosetta (13). All of the scripts and instructions required for running the protocol are available online; see SI Appendix for details.

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